



UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/126,884	07/31/98	BERTRAM	

THOMASUN & MOSER
2-40 BRIDGE AVENUE
P O BOX 8160
RED BANK NJ 07701

0222/0827

NOT ASSIGNED

2711

DATE MAILED:

08/27/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 920.00.

- ☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

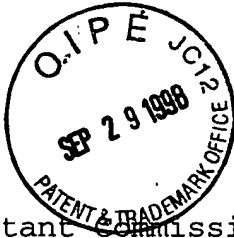
- ☒ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

G. Mollish
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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PATENT APPLICATION

SECTOR
\$

Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

In response to the August 27, 1998 "Notice to File Missing Parts of Application" (PTO-1533) we received in connection with the following US patent application:

Applicant: **Michael C. Bertram, Christopher W. B. Goode
Nikhil Rajdev, John M. Randall**

Title of application: **METHOD AND APPARATUS FOR FORMING
AND UTILIZING A SLOTTED MPEG TRANSPORT STREAM**

Serial No.: **09/126,884** Filed: **07/31/98**

Attorney Docket No.: **533/133**

We enclose the following material herewith:

- ☒ Declaration;
- ☒ Verified statement claiming small entity status;
- ☐ Verified English translation of the application;
- ☐ Our check in the amount of \$_____ to cover the basic filing fee of \$_____, additional claim fees of \$_____ and the surcharge under 37 CFR 1.16(e) in the amount of \$_____;
- ☒ Copy of PTO-1533 (Notice to File Missing Parts) issued in connection with this application.

We have also enclosed the following item(s) in connection with this application:

- ☐ A claim to priority
- ☐ Priority Document;
- ☒ Other: Assignment of Application to DIVA Systems Corporation
- ☐ Petition for Extension of Time to Respond under 37 C.F.R. 1.136(a)
- ☐ Information Disclosure Statement, PTO 1449

Please charge the Application Fee in the amount of \$395.00, (small entity), the surcharge under 37 CFR 1.16(e) in the amount of \$65.00 , and any additional fees, as appropriate, to Deposit Account 20-0782. To facilitate this charge a duplicate copy of this letter is also enclosed herewith.

Respectfully submitted,

9/25/98

Eamon J. Wall
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CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on September 25, 1998, 1998 with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Carol O'Rourke
Signature

9-25-98
Date of signature